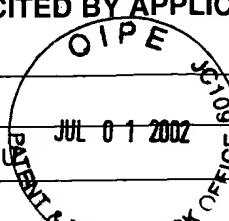


U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. AMAT/3786	Serial No. 09/469,709
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		Applicant Li, et al.	Confirmation No. 5296
	Examiner G. Goudreau	Filing Date 12/21/1999	Group 1763

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
gag	A1	5,820,450 ✓	10/13/1998	Calhoun	451	530	05/19/1997
	A2	5,985,748 ✓	11/16/1999	Watts, et al.	438	622	12/01/1997
	A3	6,096,652 ✓	08/01/2000	Watts, et al.	438	692	11/03/1997
	A4	6,113,465 ✓	09/05/2000	Kim, et al.	451	41	06/16/1998
	A5	6,184,141 ✓	02/06/2001	Avanzino, et al.	438	692	11/24/1998
	A6	6,194,317 ✓	02/27/2001	Kaisaki, et al.	438	692	04/03/1998
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	A10						
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Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Transliteration
gag	B1	11-040,526	02/12/1999	JP	✓		
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	B3						
	B4						

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
gag	C1	Li, et al., "Barrier Layer Buffing After Cu CMP" Sept. 22, 1999, USSN 09/401,643. ✓
	C2	

Examiner George Goudreau Date Considered 12-26-02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.